

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION
UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)

BOX PCT

COMPLETION
For PCT Cases OnlyIn re PATENT APPLICATION of

Inventor(s): PADGET ET AL

Appln. No.: 09 | 623,427
Series Code ↑ | Serial No. ↑

Attn: Application Division

Atty. Dkt. PM 271885 | SMC60281/UST
M# Client Ref

National Phase Field

Based on PCT | GB99 | 00425
↑ Country Code & Year

Title: WATER SOLUBLE FILMS

Date: November 22, 2000

FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASEAsst. Commissioner of Patents
Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) copy attached not yet received
2. Signed Declaration Original Facsimile/Copy with spec/claims attached
3. Translation of the International Application into English including:
 - a. Request;
 - b. Abstract
 - c. ___ pgs. Spec. and Claims; Translation verification
 - e. ___ sheets Drawing which are: informal formal of size A4 11"
4. Copy of International Search Report (ISR) attached (___ page(s))
 - a. plus Annex of family members (___ page(s))
5. Information Disclosure Statement including 11/27/2000 ERIMANDO 00000035 09623427

01 EC:966	468.00 OP
02 EC:968	270.00 OP
03 EC:154	130.00 OP

 - a. From PTO-1449 listing documents
 - b. Copies of document(s) listed on Form PTO-1449
 - c. A concise explanation of ISR references is given in the ISR
6. Assignment and cover sheet. Please return the recorded assignment to the undersigned.
7. Copy of Power to international application agent.
8. ___ (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. Formal Drawings: ___ sheet(s) informal; formal of size: A4 11"
10. Please immediately start national examination procedures (35 USC 371(f))

11. Attached:
12. Preliminary Amendment:
13. Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:.
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item
 12 (above) or item(s) in PAT-112 (filed previously) 12 14 17 25

15. **CLAIMS FEES** previously paid paid herewith as follows:

15A. Small Entity Statement Herewith Previously Filed

				Large/Small Entity		Fee Cod	
16. Total Effective Claims	46	minus 20 =	26	x \$18/\$9	+468	966/967	
17. Independent Claims	3	minus 3 =		x \$80/\$40	+	964/965	
18. If any proper multiple dependent claim (ignore improper) is present,				\$270/\$135	+270	968/969	
19. Filing Declaration late, fee paid	<input type="checkbox"/> previously	<input checked="" type="checkbox"/> now		\$130/\$65	+130	154/254	
20.				SUBTOTAL	\$868		
21. Original due date:	November 24, 2000						
22. Petition is hereby made to extend the <u>original</u> due date to	(1 mo)	\$110/\$55 =		+ 115/215			
cover the date this response is filed for which the requisite fee	(2mos)	\$390/\$195 =		116/216			
is attached	(3mos)	\$890/\$445 =		117/217			
	(4mos)	\$1390/\$695 =		118/218			
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee		\$130		+ 156			
24. If "assignment" box 6 is X'd, add recording fee.		\$40		+40		581	
25.		TOTAL FEE ENCLOSED =	\$908				

(Our Deposit Account No. 03-3975)

(Our Order No. 70662 | 271885)

C# | M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

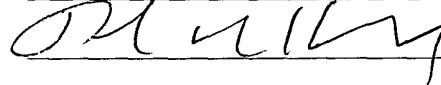
This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
PNK/MAH

By Atty: Paul N. Kokulis

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Sig: 

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NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments



**Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231**

U.S. APPLICATION NO.		FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/623,427		PADGET	J FM271885
		INTERNATIONAL APPLICATION NO	
		PCT/GB99/00425	
		I.A. FILING DATE	PRIORITY DATE
		02/25/99	03/03/98

501 P E
NOV 22 2000
JCB
PATENT & TRADEMARK OFFICE

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

 - a Designated Office (37 CFR 1.494),
 - an Elected Office (37 CFR 1.495):

U.S. Basic National Fee.

Copy of the international application in:

 - a non-English language.
 - English.

Translation of the international application into English.

Oath or Declaration of inventors(s) for DO/EO/US.

Copy of Article 19 amendments.

Translation of Article 19 amendments into English.

The International Preliminary Examination Report in English and its Annexes, if any.

Translation of Annexes to the International Preliminary Examination Report into English.

Preliminary amendment(s) filed _____ and _____.

Information Disclosure Statement(s) filed **15 SEP 2001** and _____.

Assignment document.

Power of Attorney and/or Change of Address.

Substitute specification filed _____.

Statement Claiming Small Entity Status.

Priority Document.

Copy of the International Search Report and copies of the references cited therein.

Other: **JB 306 PTO/101**

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 - a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
 - The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

RECEIVED
PILLSBURY MADISON & SUTRO LLP/DC
OCT 26 2000

CL# MTA 271885
ATTY(S)
DUE: 11-24-00
Dkt By (1) SP (2) WJW

RECEIVED
PILLSBURY MADISON & SUTRO LLP/DC

OCT 26 2000

OCT 26 2000

CL# MTA 271865
ATTY(S) _____
DUE: 1-24-00
DKT BY (1) (1) (2) W/HW
Annexes, if any.

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY 21 OR 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned, with this response.

Enclosed: PCT/DO/EO/917 Notice of Defective Translation
 PTO-875

Francine Young
National Stage Processing
Paralegal Specialist
(703) 305-3662

FORM PCT/DO/EO/905 (December 1997)